



S&H Form: (10/01)

REPLY/AMENDMENT FEE TRANSMITTAL	Attorney Docket No.	837.1964	
	Application Number	09/803,978	
	Filing Date	March 13, 2001	
	First Named Inventor	Shinichi TAKEDA, et al.	
	Group Art Unit	3663	
AMOUNT ENCLOSED	446.00	Examiner Name	Hughes, Deandra M.

FEE CALCULATION (fees effective 10/01/01)

CLAIMS AS AMENDED	Claims Remaining After Amendment	Highest Number Previously Paid For	Number Extra	Rate	Calculations
TOTAL CLAIMS	16	- 20 =	0	X \$ 18.00 =	\$ 0.00
INDEPENDENT CLAIMS	12	- 4 =	8	X \$ 84.00 =	672.00
Since an Official Action set an <u>original</u> due date of <u>November 8, 2002</u> , petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110); 2 months (\$400); 3 months (\$920); 4 months (\$1,440); 5 months (\$1,960)):					110.00
If Notice of Appeal is enclosed, add (\$320)					
If Statutory Disclaimer under Rule 20(d) is enclosed, add fee (\$110)					
Total of above Calculations =					\$ 782.00
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27 & 1.28)					
TOTAL FEES DUE =					\$ 782.00

(1) If entry (1) is less than entry (2), entry (3) is "0".

(2) If entry (2) is less than 20, change entry (2) to "20".

(4) If entry (4) is less than entry (5), entry (6) is "0".

(5) If entry (5) is less than 3, change entry (5) to "3".

RECEIVED

DEC 23 2002

METHOD OF PAYMENT

- ☐ Check enclosed as payment.
- ☒ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GROUP 3600**GENERAL AUTHORIZATION**

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:
- | | |
|----------------------|--------------------|
| Deposit Account No. | 19-3935 |
| Deposit Account Name | STAAS & HALSEY LLP |
- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC § 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Kevin J. McNeely	Reg. No.	52,018
Signature	<i>Kevin J. McNeely</i>	Date	12/9/02

©2001 Staas & Halsey LLP

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

on December 9, 2002By: Andrew H. HalseyDate: 12/9/02



Serial No: 09/803,978

Docket No.: 837.1964

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Amend A #6
F. Burns
12/31/02

In re the Application of:

Shinichi TAKEDA, et al.

Serial No. 09/803,978

Group Art Unit: 3663

Confirmation No. 9367

Filed: March 13, 2001

Examiner: Hughes, Deandra M.

For: METHOD AND DEVICE FOR WAVEFORM SHAPING OF SIGNAL LIGHT

AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to the Office Action mailed August 8, 2002, and having a period for response set to expire on November 8, 2002, without payment of extension fees. A Petition for a one-month extension of time is submitted herewith thereby extending the period for response to December 8, 2002.

The following amendments and remarks are respectfully submitted. Reconsideration of the claims is respectfully requested.

IN THE DRAWINGS:

In the Office Action at page 2, the Examiner requested drawing corrections concerning Figures 1-2, 3A-3E, and 4-6. Please refer to the separate request for drawing corrections filed with this Amendment.

01/03/2003 LCCOFER 00000010 193935 09803978

01 FC:1201 **IN THE CLAIMS:**
02 FC:1202 36.00 CH

Please REPLACE claims 1,2, and 7-9, CANCEL claims 3-6, and ADD new claims 16-26 in accordance with the following:

1. (Amended) A method comprising :
- shaping a waveform of an input signal light to produce a shaped output signal light;
 - selecting a quality measure that obtains a quality measurement of said output signal

12/20/2002 BNGUYEN1 00000008 193935 09803978

02 FC:1201 672.00 CH

RECEIVED
DEC 23 2002
GROUP 3600